

# Multi-beam MIMO Prototype for Real-Time Multiuser Communication at 28 GHz

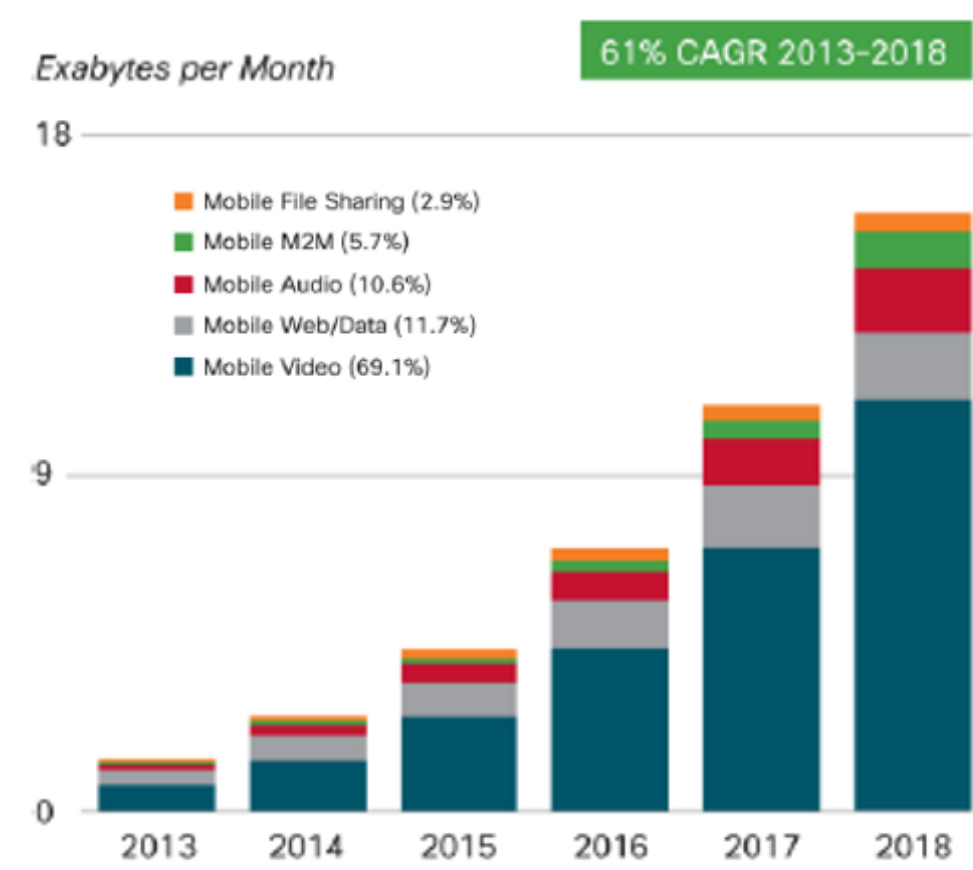


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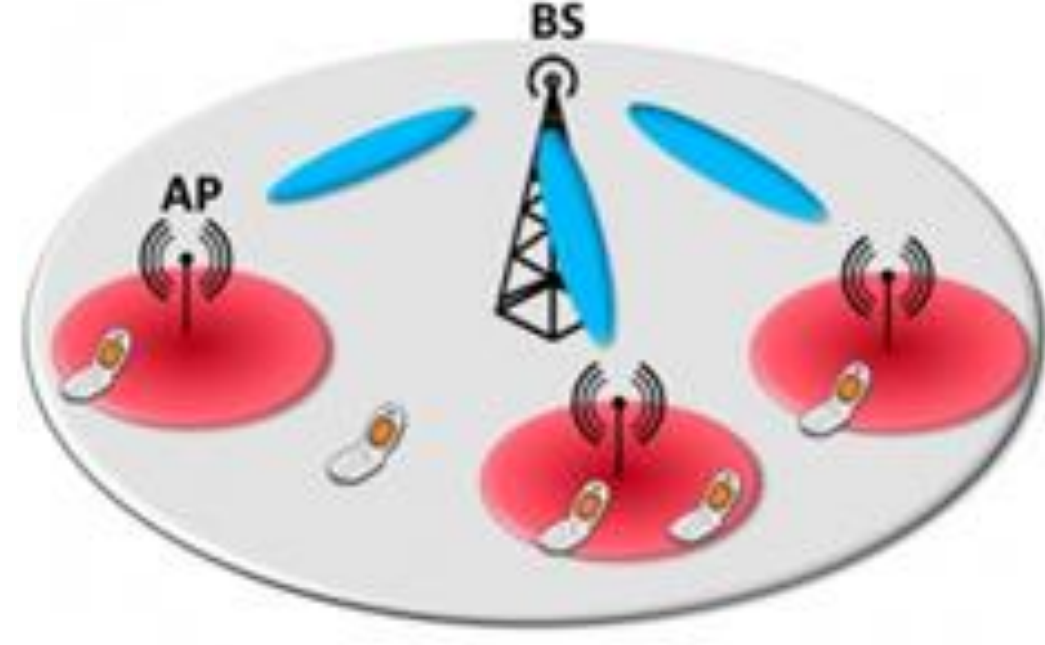
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Computer Engineering  
UNIVERSITY OF WISCONSIN-MADISON

## I. Beamspace MIMO for 5G Gigabit Applications



mmWave: 30-300GHz Orders-of-magnitude larger bandwidth (GHz)

Key 5G Use Cases:  
Backhaul  
Last Mile  
Small-Cell Mobile Access

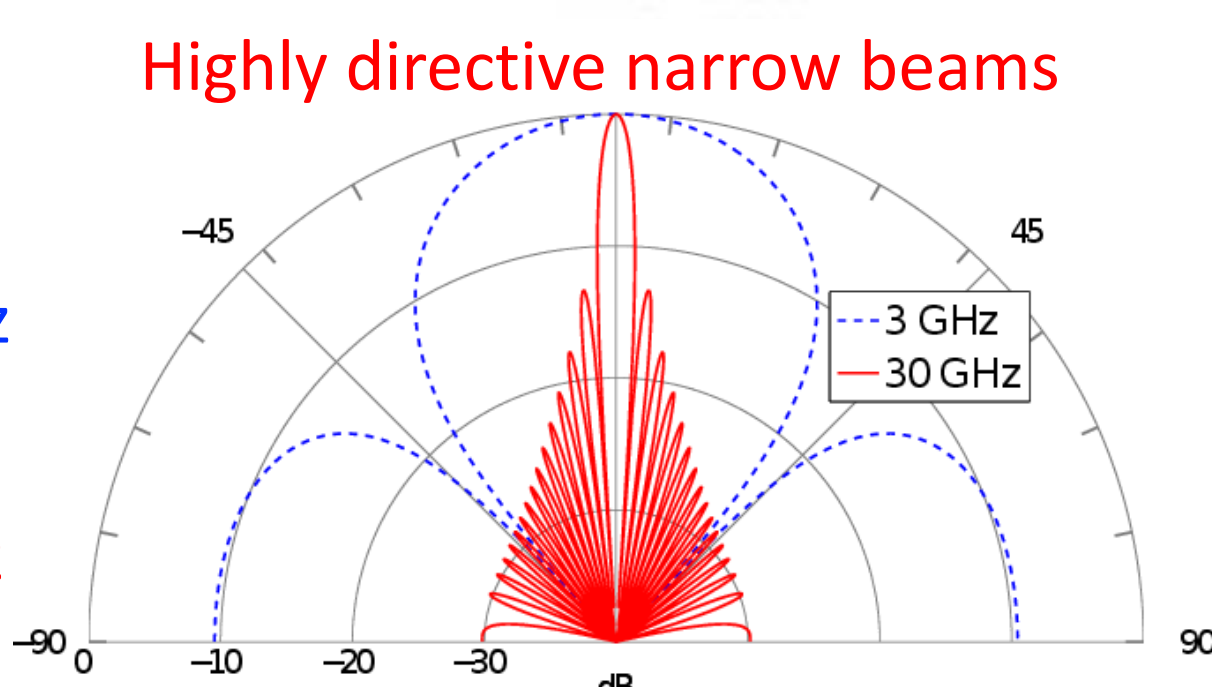


Key 5G Requirements:  
Multi-Gbps speeds  
sub-millisecond latency

6" antenna

35 deg @ 3GHz  
(15dBi Gain)

4 deg @ 30GHz  
(45dBi Gain)



Beamspace MIMO: Multiplexing Data into Beams

Spatial Fourier Transform

Antenna space multiplexing  
n-element array  
( $\frac{\lambda}{2}$  spacing)

n orthogonal beams

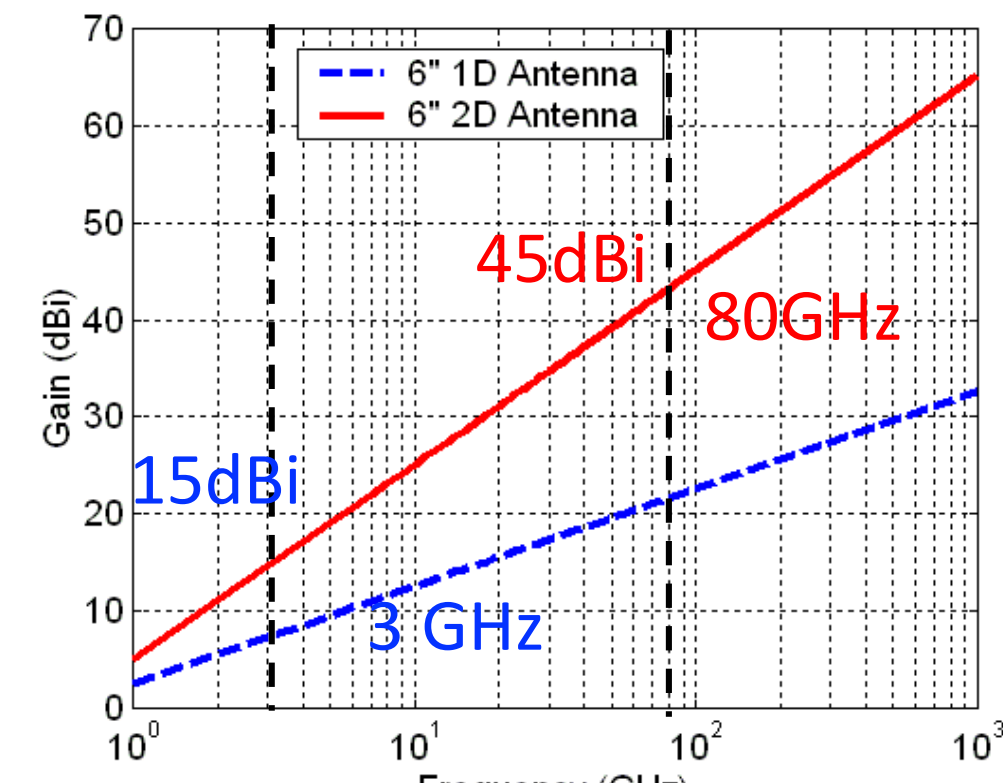
Beamspace multiplexing

array steering vector

$$a_n(\theta) = \begin{bmatrix} 1 \\ e^{-j2\pi\theta} \\ \vdots \\ e^{-j2\pi\theta(n-1)} \end{bmatrix}$$

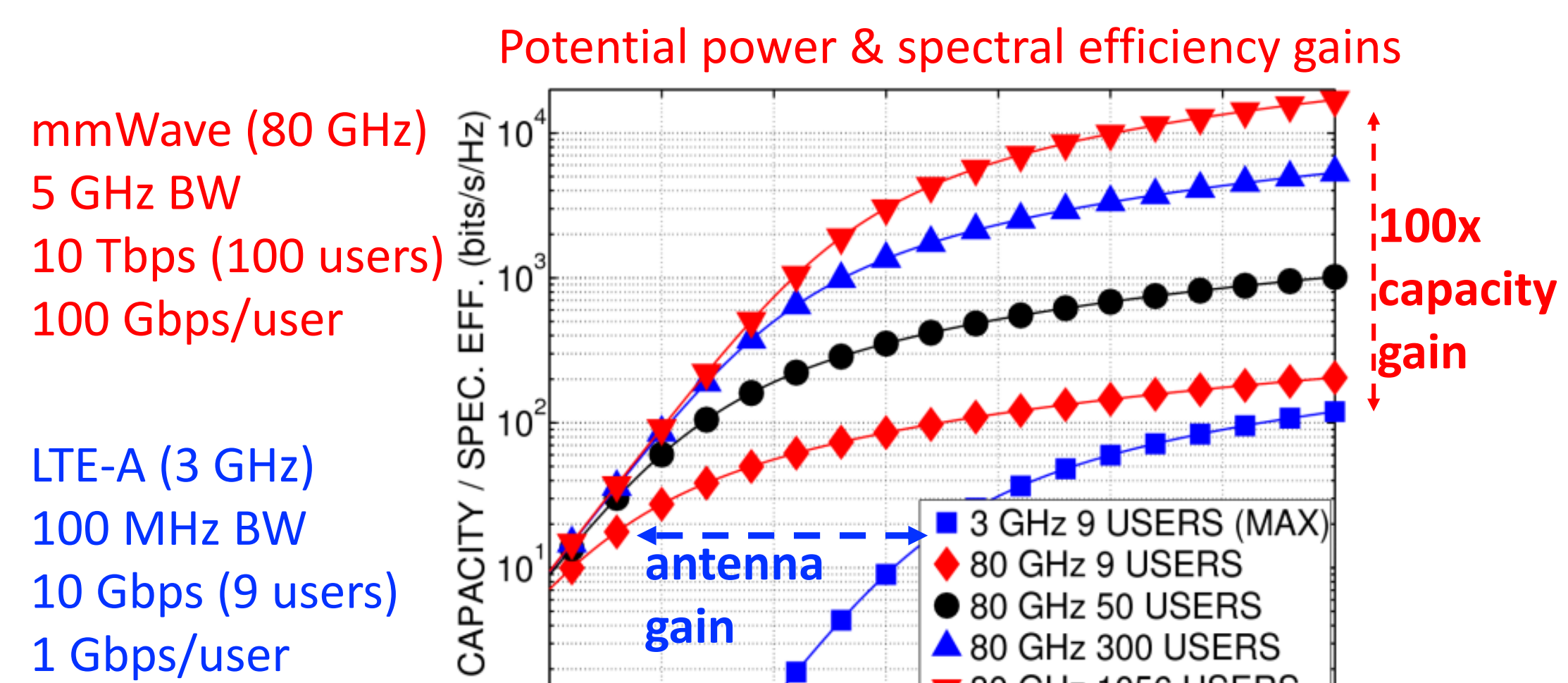
$$\text{spatial freq. } -\frac{1}{2} \leq \theta < \frac{1}{2} \quad \theta = \frac{1}{2} \sin(\phi) \quad -\frac{\pi}{2} \leq \phi < \frac{\pi}{2}$$

Large antenna gain

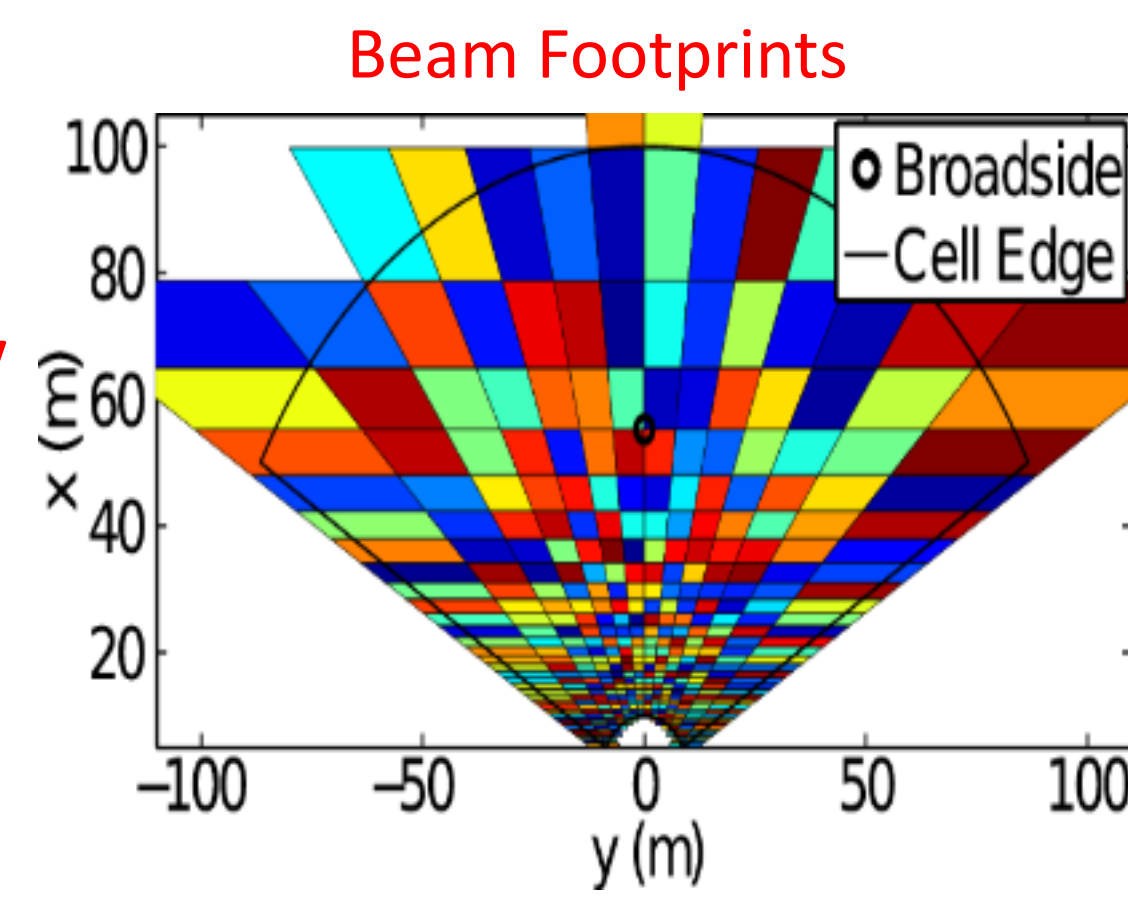


## II. Dense Beamspace Data Multiplexing

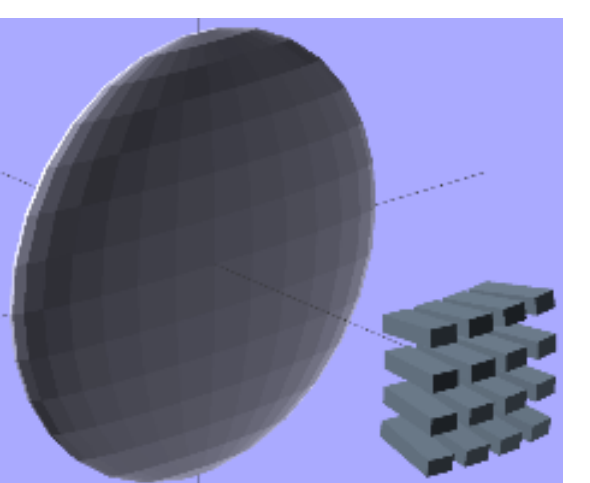
6" x 6" antenna: 9 elements @3GHz vs. 6000 elements @80GHz



LTE-A (3 GHz)  
100 MHz BW  
10 Gbps (9 users)  
1 Gbps/user



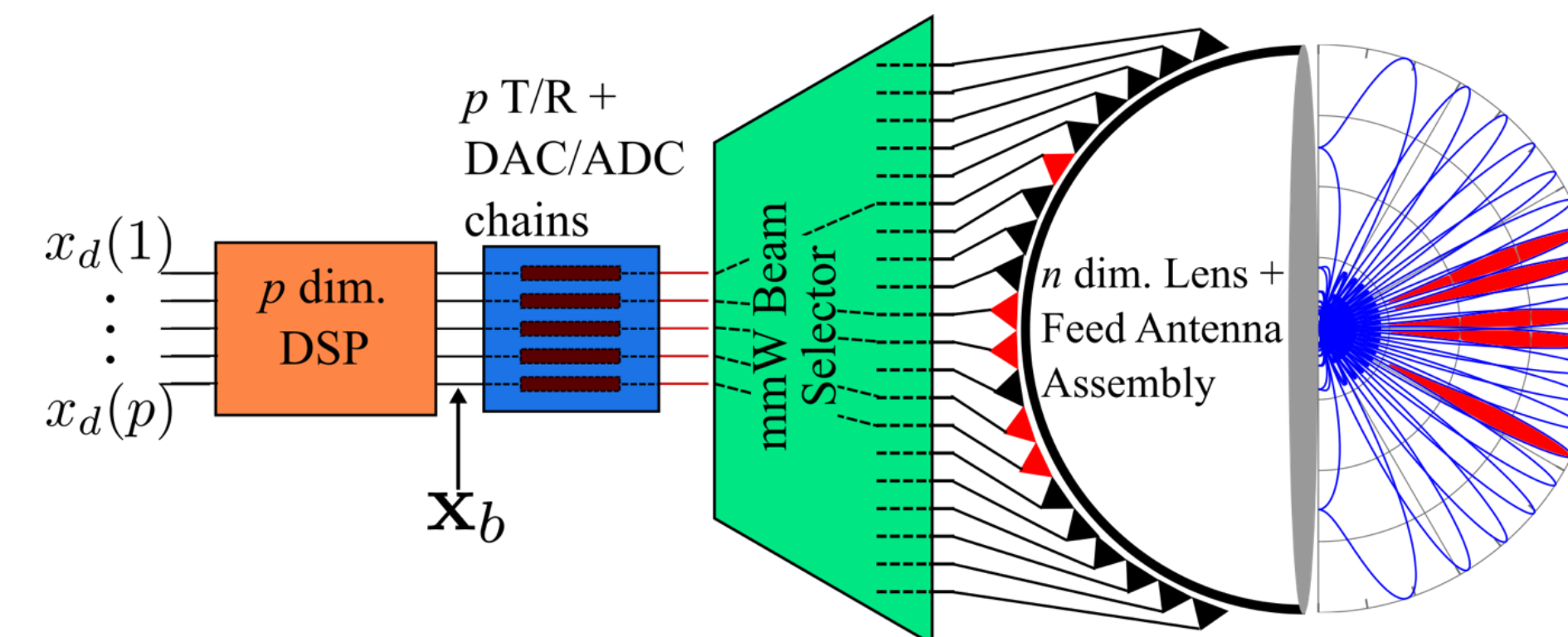
2D Lens Array



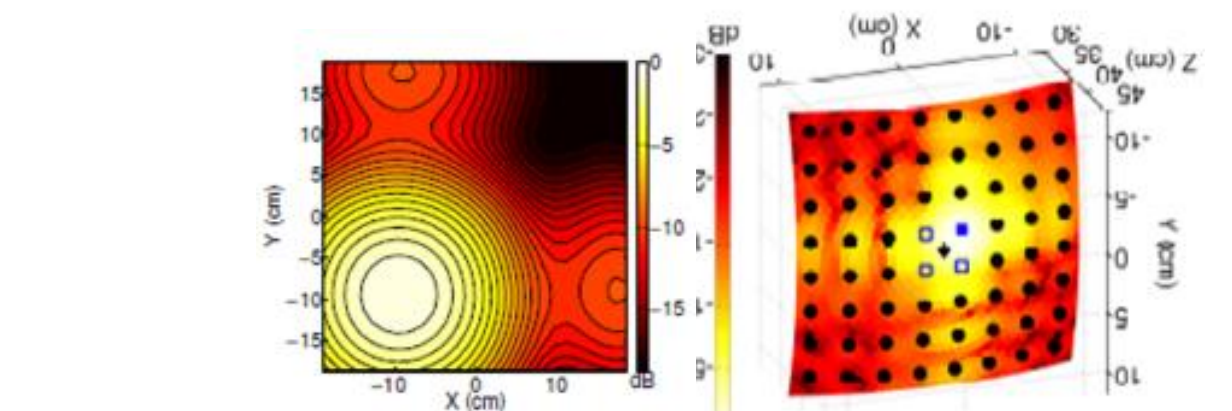
Key Functionality:  
Multi-beam Steering & Data Multiplexing

Key Challenge:  
Complexity (hardware & computational)

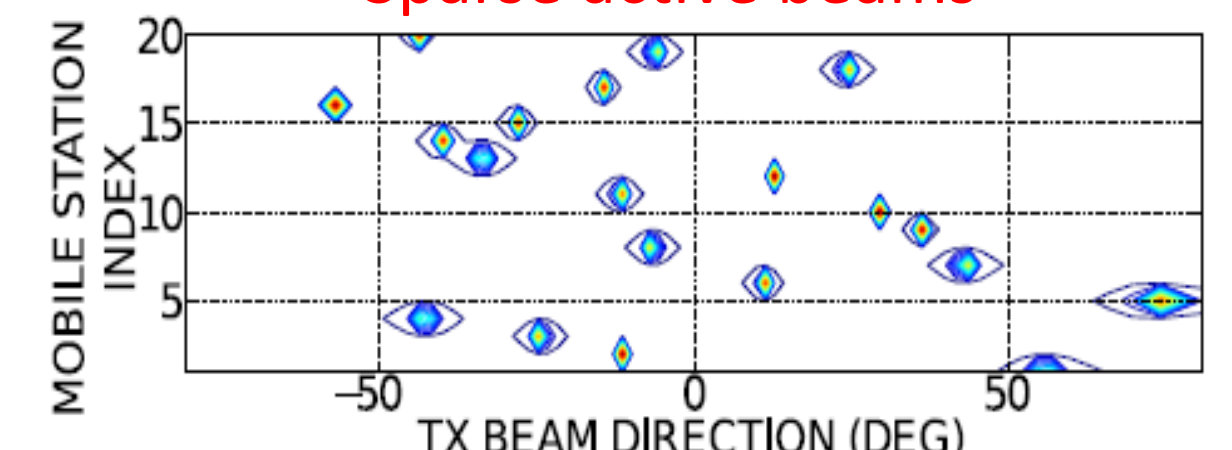
Continuous Aperture Phased (CAP) MIMO



Scalable performance-complexity optimization



Sparse active beams

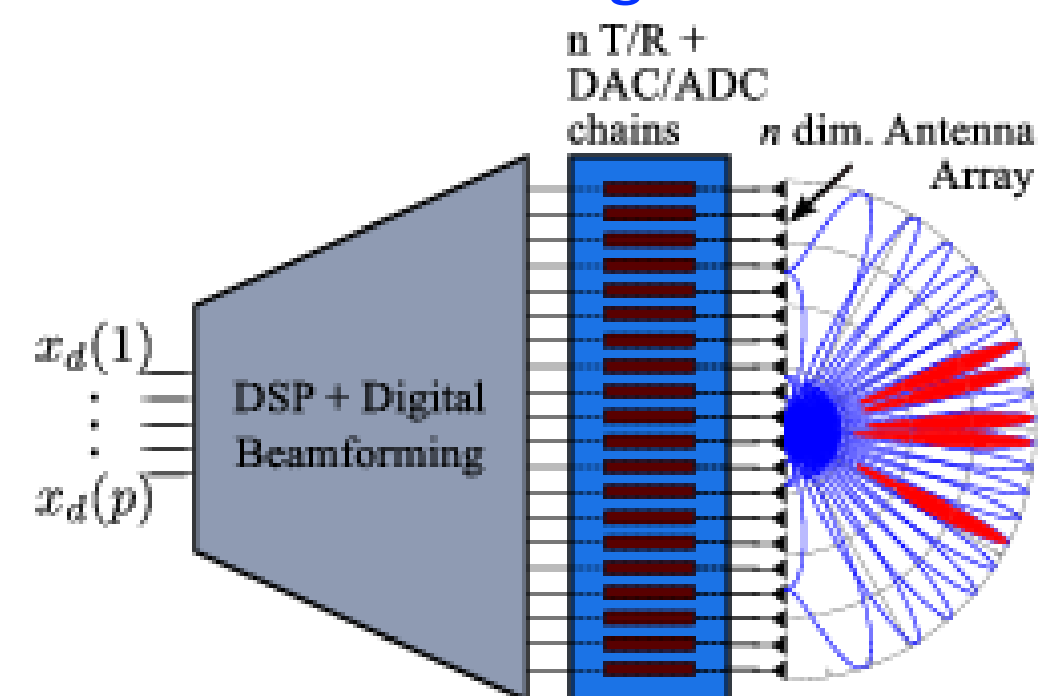


CAP-MIMO Features

- Beamspace MIMO transceiver
- Lens array for analog multi-beamforming
- Optimum exploitation of mmW T/R chains
- Scalable architecture

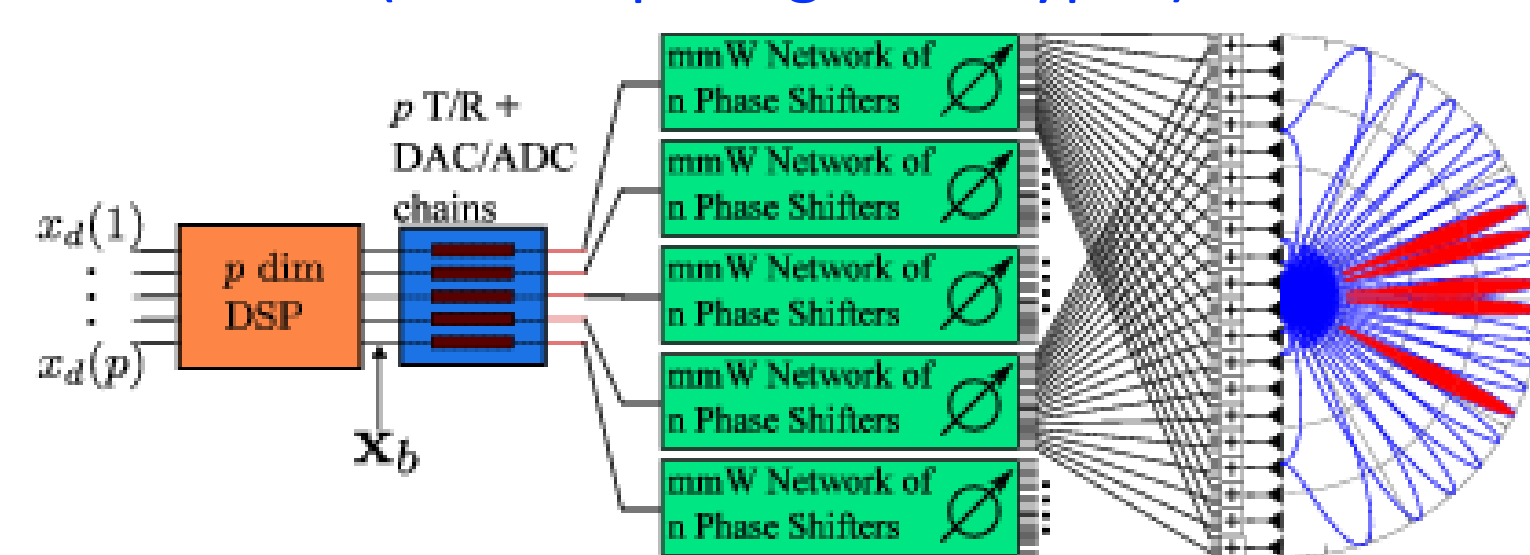
## III. CAP-MIMO vs Competing Architectures

Conventional MIMO: Digital Beamforming



Prohibitive complexity

Phased Array Architecture (All Competing Prototypes)

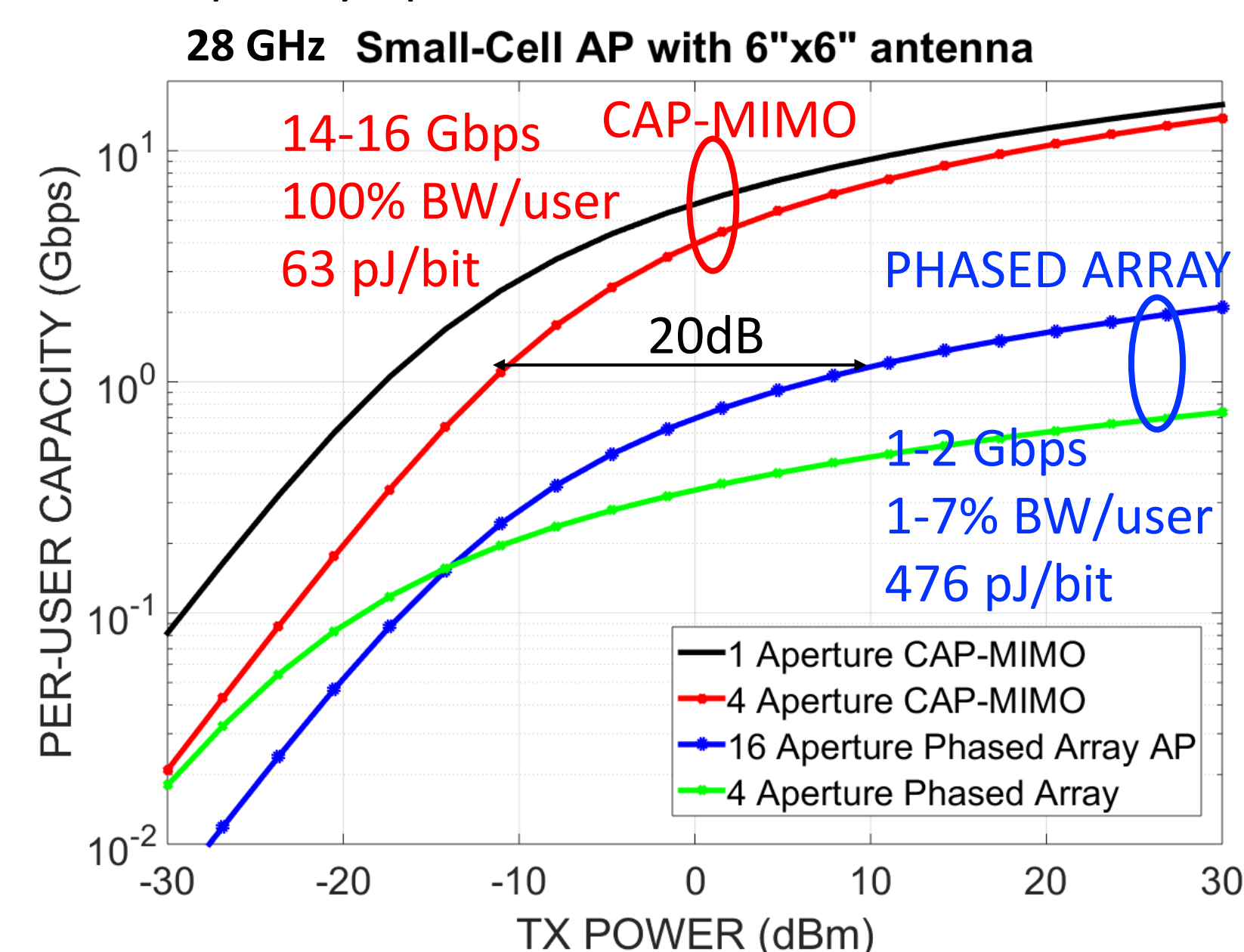


Limited to single-beam (no MIMO)

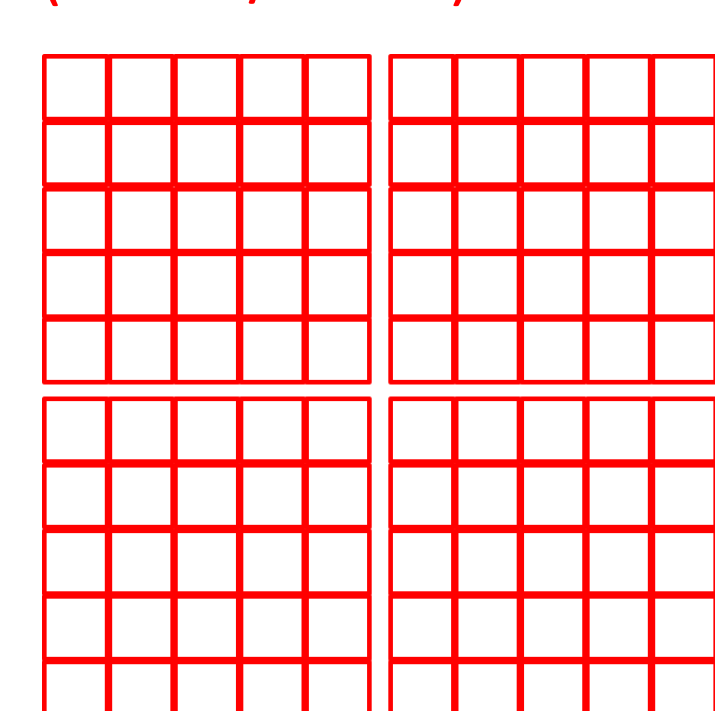
Key CAP MIMO differentiators

- Electronic multi-beam steering & data multiplexing
- Dramatic reduction in hardware & computational complexity
- Scalable performance-complexity optimization

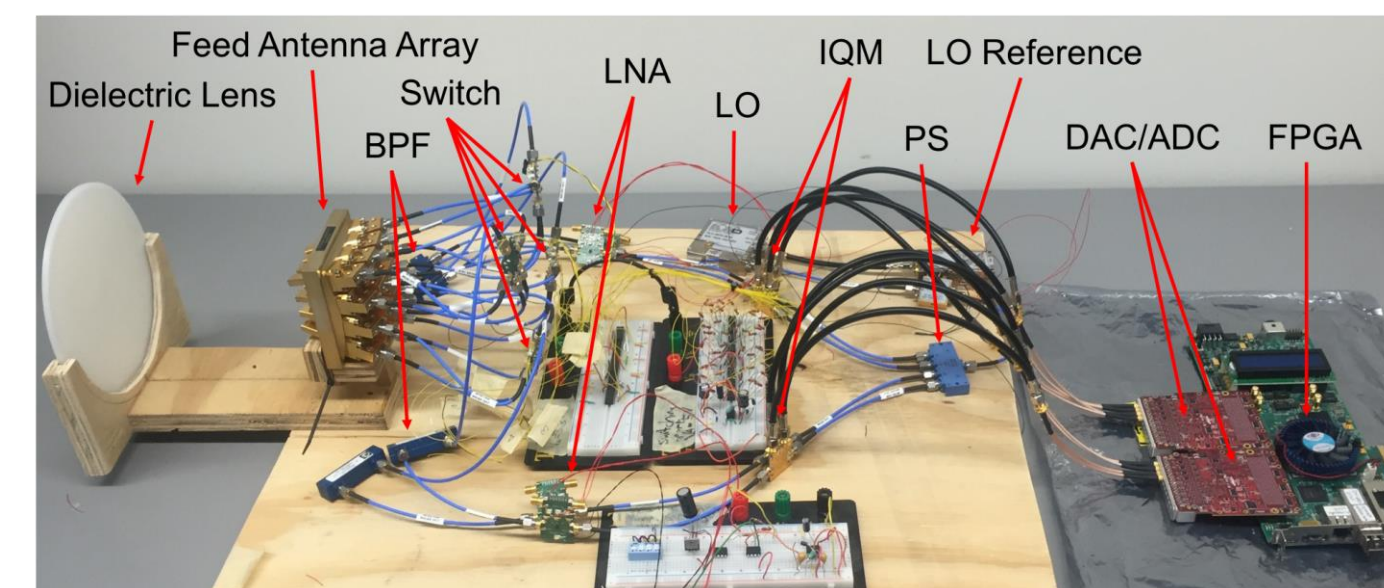
16, single-beam  
Phased Arrays  
(16 total beams)  
(7 users/beam)



4, 25-beam  
CAP-MIMO Arrays  
(100 total beams)  
(1 user/beam)



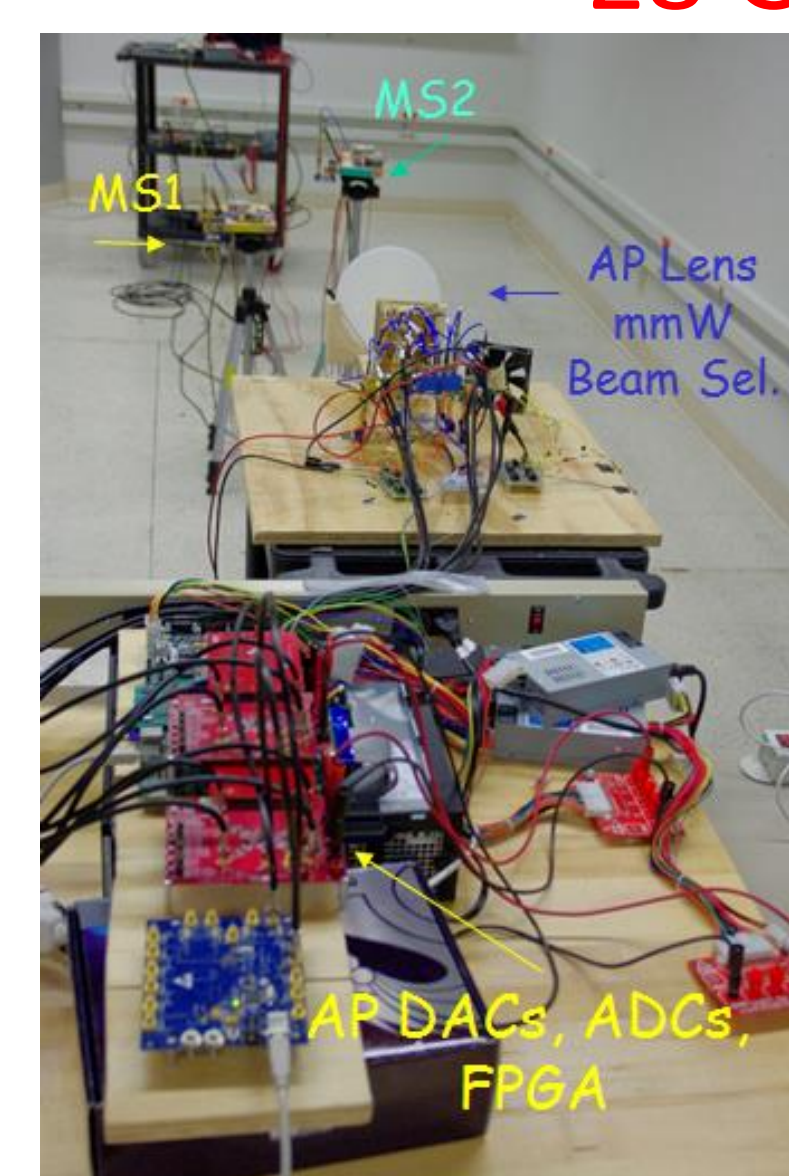
## IV. Multi-beam CAP-MIMO Prototype



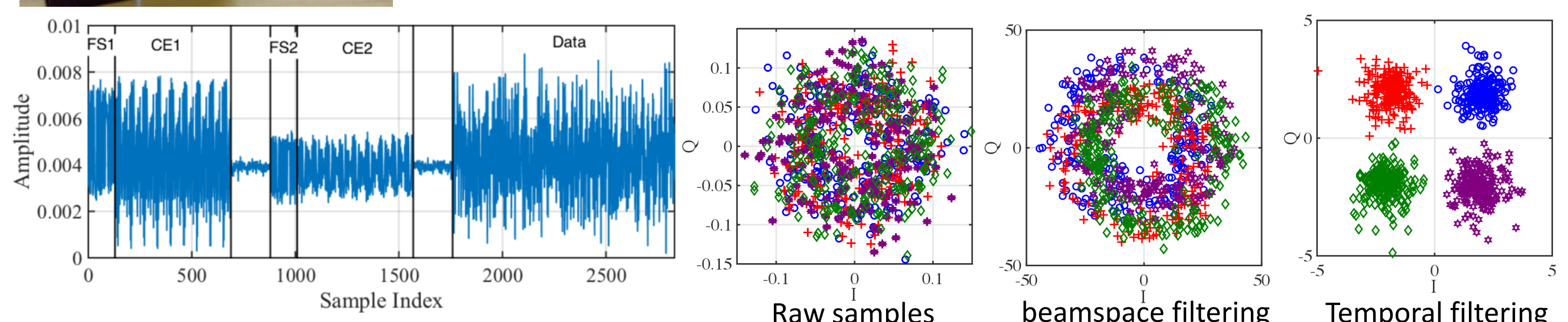
28 GHz prototype: lens; mmW and baseband hardware

Prototype Specs	28 GHz
Lens Size	6 in diameter
Feed Antenna	WR-28 WG
Feed Array Dim.	4 x 4 (16 feeds)
Channels	2 x 4 MU-MIMO
Current sampling rate	125-370 MS/s

### 28 GHz Real-Time Multiuser Communication



- Frame design: beam selection (BS), frame synch (FS), channel estimation (CE), interference suppression, and temporal equalization for MU communication
- Both uplink/downlink OFDM communication; currently uplink
- Real-time receive processing implemented in the FPGA: beam-selection, beam-frequency channel estimation, beam-frequency MMSE filtering
- PCIe interface used for data offload from the AP to a PC



## Potential Applications and Impact

- Disruptive 5G technology
- Millisecond latency
- Scalability to meet performance metrics with minimum complexity
- Multi-Gigabit speeds
- Key use cases: Backhaul, Last Mile, & Mobile Access
- New channel sounding architecture for multi-beam measurements